

STATE AID INCIDENCE ASSESSMENT GRID – Investment Priority 6/c – INDICATIVE TEMPLATE UNDER FINALIZATION

Project identification

Project title	
Project acronym	
Project number	
Official name of the Lead Applicant organization	

- A. It should be analysed whether the notion of aid/local impact is applicable
- B. If not applicable then it should be analysed under GBER Article 53
- C. De minimis
- D. Other criteria (training, public road, thematic routs etc)

I. Check list

Criteria	Description/ relevant document	Question	Yes	No	Na	Comments/Recommendations
1. Non aid						
a) Commission Notice Notion of Aid art.33-34 Culture and heritage	<i>Application Form – work-packages</i>	Is it the main activity non- economic and the economic activity represents maximum 20%				The assessor may ask for clarifications regarding such

Criteria	Description/ relevant document	Question	Yes	No	Na	Comments/Recommendations
conservation		from the cultural institution's total activity? b) Does the visitors fee of the culture infrastructure, which may cover only up to 50% of real costs, modify the non-economic nature of the cultural activity?				aspects/completion The assessor may ask for clarifications regarding such aspects/completion
b) Local impact study	See the provisions in the GfA related to local impact study. To be provided along with an English translation Drafted in compliance with art. 196 of EC Communication 2016/C 262/01	I. ADMINISTRATIVE 1. Is the Local Impact study attached to the Application Form? 2. Is the document dully signed and stamped by the legal/authorized representative? II. QUALITY a) a) Are the cultural objectives susceptible to attract users or visitors from similar offers from other Member States? b) Is the trade between Member				

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Criteria	Description/ relevant document	Question	Yes	No	Na	Comments/Recommendations
		relevant financial documents				
b) Article 53 GBER - culture	See the provisions of the scheme related to the culture Article 53 of GBER	Do the activities in the AF observe the activities foreseen in the scheme for culture? The project budget is constructed based on the scheme and using reasonable assumptions and up-to-date figures?				The assessor may ask for clarifications.
3. DE MINIMIS						
a) Declaration regarding de minimis aid and single undertaking status (to be provided by the final beneficiary of State aid)	Annex... To be provided in English To be signed	1. Is the declaration attached to the Application Form? 2. Is the provided template used? 3. Is the declaration signed and stamped by the entity's legal representative?				The assessor may ask for completion, the assessor shall identify the undertaking in order to ask for this declaration
b) De minimis (legal documents proving the status of the undertaking)	See the provisions of the scheme related to de minimis	Do the activities in the AF observe the activities foreseen in the scheme for de minimis? The assessor shall check the				The assessor may ask for clarifications. the assessor shall identify the undertaking in order to ask for this declaration

Criteria	Description/ relevant document	Question	Yes	No	Na	Comments/Recommendations
	The content of Application Form shall be checked in relation to de minimis	<p>accuracy of calculations within the declaration in case previous de minimis was granted.</p> <p>Due care shall be attached to the fact that 200.000 Euro could be granted by each of the Member States, namely Romania and Hungary, per undertaking as final beneficiary of state aid.</p>				
4. Other aspects						
1. Training activities <i>(exchange of experience does not involve state aid)</i> 1.a Trainings related to purchased equipment-given the latest meeting with Romanian Competition Council, this aspect should not be	Application Form – work-packages – project budget	1a/1. Is there any training activity included in the project?				The assessor may ask for clarifications.
		1a/2. If yes, is the training directly related to the project activities?				
		1a/3. Is the training only for the staff directly involved in the project?				
		1b/1. Is the training for public				

Criteria	Description/ relevant document	Question	Yes	No	Na	Comments/Recommendations
assessed differently. I would leave only the first question, 1.b Trainings Not related to purchased equipment		institutions employees?				
		1b/2. Are the participants to the training natural persons or employees of an entity having less than 20% economic activities?				
		1b/3. Does the Application provide that the training related materials will be uploaded to the programme website, to Applicant's website?				<p>The assessor may ask for clarifications.</p> <p>The assessor may ask how dissemination is foreseen.</p>
2. Thematic routes	Application Form – work-packages	Are these routes free of commercial brands when described?				The assessor shall make recommendations to secure this compliance.
3. Public roads construction/modernization activity	Application Form – work-packages and excerpt from relevant local /regional / national strategy	<p>1. Are the roads included within a national, regional or local strategy or government decision in force at the time of the application?</p> <p>2. Does the public road serve only the cultural heritage or enterprise? <i>(Please note that state aid does not apply if the road is not intended to serve only the cultural heritage or</i></p>				<p>The assessor may ask for clarifications.</p> <p>In case of complete absence of these aspects, the assessor can point to the correlation with the proper recommendations in the guide.</p>

Criteria	Description/ relevant document	Question	Yes	No	Na	Comments/Recommendations
		<i>enterprise).</i>				
4. New cross-border IT platforms, groupings and networks	Application Form – work-packages	<p>1. Does the Application provide for a non-discriminating access to the IT platforms, groupings and networks for all entities (including private entities) operating similar type?</p> <p>2. If it is intended to collect a fee for the use of this system, to cover the costs for maintenance, is the same fee going to be charged to all users, public or private?</p> <p>3. Is the intention to create IT platforms, groupings and networks largely disseminated?</p>				
5. Indirect State aid	Application Form – work-packages	Is there any indirect State aid?				The State aid assessor shall also check the possible incidence of indirect State aid. Based on his/her professional judgement, the State aid assessor will formulate recommendations, to be respected accordingly.

II. Result of the Qualitative Assessment

As a result of the assessment, I conclude that:

- ☐ For the project..... the following activities are considered State aid free based on the type of activity/ notion of aid 33-34/ local impact study

Relevant activity	Concerned budget of the activity	Partner(s) involved	Justification of decision	Recommendations

As a result of the assessment, I conclude that:

- ☐ For the project the following activities are considered to be under Article 53 of GBER

Relevant activity	Concerned budget of the activity	Partner(s) involved	Justification of decision	Recommendations

As a result of the assessment, I conclude that:

- ☐ For the project the following activities are considered to be under de minimis

Relevant activity	Concerned budget of the activity	Partner(s) involved	Justification of decision	Recommendations

III. Conclusions:

As a result of the assessment process, the project.....is:

- ☐ Rejected as noncompliant (the applicant failed to submit the requested completions/clarifications by the set deadline)
- ☐ Passed for the Quality (technical and financial) assessment step, subject to observe the recommendations¹ herein above
- ☐ Passed for the Quality (technical and financial) assessment step² under conditions to be met until set deadline (yyyy/mm/dd)
- ☐ Passed for the Quality (technical and financial) assessment step

Date:

Place:

Signature:

¹ If possible, deadlines will be set (e.g. until contracting)

² Please see the Methodology and criteria for assessment of projects in relation to State Aid, section 3.4 Procedural steps